

FORM PTO-1449		SERIAL NO. Not Yet Assigned	CASE NO. 8285-681
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)		FILING DATE Herewith	GROUP ART UNIT Not Yet Assigned
		APPLICANT(S): FRANCZEK ET AL.	

REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
/DA/ A1	6,119,233	09/2000	Hawkins		
/DA/ A2	5,889,943	03/1999	Ji et al.		
/DA/ A3	5,960,170	09/1999	Chen et al.		
/DA/ A4	6,393,568 B1	05/2002	Ranger et al.		
/DA/ A5	6,338,141 B1	01/2002	Wells		
/DA/ A6	6,240,530 B1	05/2001	Togawa		
/DA/ A7	6,016,546	01/2000	Kephart et al.		
/DA/ A8	5,918,008	06/1999	Togawa et al.		
/DA/ A9	5,684,875	11/1997	Ellenberger		
/DA/ A10	5,509,120	04/1996	Merkin et al.		
/DA/ A11	4,975,950	12/1990	Lentz		
/DA/ A12	5,319,776	06/07/94	HILE ET AL.		
/DA/ A13	5,359,659	10/25/94	ROSENTHAL		
/DA/ A14	5,440,723	08/08/95	ARNOLD ET AL.		
/DA/ A15	5,485,575	01/16/96	CHESS ET AL.		
/DA/ A16	5,511,163	04/23/96	LARCHE ET AL.		
/DA/ A17	5,511,184	04/23/96	LIN		
/DA/ A18	5,623,600	04/22/97	JI ET AL.		
/DA/ A19	5,696,822	12/09/97	NACHENBERG		
/DA/ A20	5,832,208	11/03/98	CHEN ET AL.		
/DA/ A21	5,987,610	11/99	FRANCZEK ET AL.		
/DA/ A22	5,999,526	12/99	GARLAND ET AL.		
/DA/ A23	6,119,233	09/00	HAWKINS		
/DA/ A24	6,047,273	04/00	VAGHI		
/DA/ A25	6,397,335	5/28/2002	Franczek et al.		
/DA/ A26	5,848,137 A	12/1998	Hsiao, Ray-Ling		
/DA/ A27	6,088,803 A	07/2000	Tso et al.		

EXAMINER	/Devin Almeida/	DATE CONSIDERED	03/16/2007
----------	-----------------	-----------------	------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;
 Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	SERIAL NO. Not Yet Assigned	CASE NO. 8285-681
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE Herewith	GROUP ART UNIT Not Yet Assigned
	APPLICANT(S): FRANCZEK ET AL.	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (If known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
/DA/	A28	Ian Leitch, "Computer viruses: a problem of management", Engineering Management Journal, pp. 21-24, February 1994
/DA/	A29	Gerald Tesauro, "Neural networks for computer virus recognition", IEEE, pp. 5-6, August 1996
/DA/	A30	Robert Niemeyer, "Using Web Technologies in Two MLS Environment: A Security Analysis", IEEE, pp 205-214 1997
/DA/	A31	"A Generic Virus Scanner in C++," ftp://cerias.purdue.edu/pub/papers/sandeep-kumar/kumar-spaef-scanner.pdf , September 17, 1992, 21 pages.
/DA/	A32	"DoD Products – Trusted Gateway System," http://www.tcs-sec.com/products/trusted-gateway-system/trusted-gateway-system.html , 2001, 4 pages.
/DA/	A33	"Intrusion Detection Systems," Panagiotis Astithas, http://www.daemonnews.org/199905/ids.html , 1999, 6 pages.
/DA/	A34	"Annual Technical Report for Ada Embedded Systems Testbed Project," Weiderman et al., December 1987, 58 pages.
/DA/	A35	"Multics Features," http://www.multicians.org/features.html , January 12, 2003, 16 pages.
/DA/	A36	"Malicious Data and Computer Security," W. Olin Sibert, InterTrust Technologies Corporation, http://home.earthlink.net/~wolfboy/ARCHIVE/GenSecure/malldata.htm , printed February 19, 2003, 10 pages.
/DA/	A37	"2.4 The TUNIX Firewall: extensions and third-party components," www.tunix.nl/fire/wp-eng/chapter2.4.html , copyright 1997-99, 2000, printed February 19, 2003, 9 pages.
/DA/	A38	"SBC Donates \$7.3M Patent to UT," Austin Business Journal, http://austin.bizjournal.com/austin/stories/2003/02/03/daily6.html , February 3, 2003, 1 page.
/DA/	A39	Third Party Email, February 18, 2003, 6 pages.
/DA/	A40	Third Party Email, March 2, 2003, 9 pages.
/DA/	A41	Third Party Email, March 14, 2003, 5 pages.
/DA/	A42	Third Party Email, March 18, 2003, 3 pages.
/DA/	A43	Third Party Email, March 20, 2003, 13 pages.
/DA/	A44	Third Party Email, May 12, 2003, 13 pages.
/DA/	A45	IPO Daily News, May 22, 2003, 1 page.
/DA/	A46	"Patent Donations Are Criticized," New York Times, March 17, 2003, 2 pages.

EXAMINER /Devin Almeida/	DATE CONSIDERED /Devin Almeida/
-----------------------------	------------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

S P E C I A L
53 07 2004
SEARCHED

FORM PTO-1449	SERIAL NO. 10/810,443	CASE NO. 8285-681
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT <small>(use several sheets if necessary)</small>	FILING DATE March 26, 2004	GROUP ART UNIT 2131
APPLICANT(S): Franczek et al.		

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS
/DA/	A1	6,119,165	09/2000	Li et al.	
	A2				
	A3				
	A4				
	A5				
	A6				
	A7				
	A8				
	A9				
	A10				
	A11				
	A12				
	A13				

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS
	A14				
	A15				
	A16				
	A17				
	A18				

EXAMINER INITIAL	OTHER ART - NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>	
	A19	
	A20	
	A21	
	A22	
	A23	
	A24	

EXAMINER	/Devin Almeida/	DATE CONSIDERED	03/16/2007
----------	-----------------	-----------------	------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;
 Draw line through citation if not in conformance and not considered. Include copy of this form with next
 communication to applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number		
				Filing Date	03/26/2004	
				First Named Inventor	Edward J. Franczek	
				Group Art Unit	2131	
				Examiner Name	Unknown	
SEARCHED	1	TRADEMAKES	OF	1	Atty Docket Number	VERVE1100-5

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pgs. Columns, Lines Where Relevant Passages or Figures Appear
		Number	Kind Code (if known)			
/DA/	A1	6,397,335	B1	05/2002	Franczek, et al.	
/DA/	A2	6,119,233	A1	09/2000	Hawkins, Charles	
/DA/	A3	5,889,943	A1	03/1999	Ji, et al.	
/DA/	A4	5,960,170	A1	09/1999	Chen, et al.	
/DA/	A5	6,393,568	B1	05/2002	Ranger, et al.	
/DA/	A6	6,338,141	B1	01/2002	Wells, Joseph W.	
/DA/	A7	6,240,530	B1	05/2001	Togawa, Yoshifusa	
/DA/	A8	6,016,546	A1	01/2000	Kephart, et al.	
/DA/	A9	5,918,008	A1	06/1999	Togawa, et al.	
/DA/	A10	5,684,875		11/1997	Ellenberger, Hans	
/DA/	A11	5,509,120	A1	04/1996	Merkin, et al.	
/DA/	A12	4,975,950		12/1990	Lentz, Stephen	
/DA/	A13	6,397,335	A1	05/2002	Franczek, et al.	
/DA/	A14	5,832,208	A1	11/1998	Chen, et al.	
/DA/	A15	5,848,137	A1	12/1998	Hsiao, Ray-Ling	
/DA/	A16	5,889,943	A1	03/1999	Ji, et al.	
/DA/	A17	5,918,008	A1	06/1999	Togawa, et al.	
/DA/	A18	5,960,170	A1	09/1999	Chen, et al.	
/DA/	A19	5,987,610	A1	11/1999	Franczek, et al.	
/DA/	A20	6,016,546	A1	01/2000	Kephart, et al.	
/DA/	A21	6,088,803	A1	07/2000	Tso, et al.	
/DA/	A22	6,119,233	A1	09/2000	Hawkins, Charles	
/DA/	A23	6,240,530	B1	05/2001	Togawa, Yoshifusa	
/DA/	A24	6,338,141	B1	01/2002	Wells, Joseph	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Country Code	Number	Kind Code (if known)	Publication Date MM-DD-YYYY (Number 43)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
Examiner Signature			/Devin Almeida/		Date Considered	03/16/2007	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/810,443
				Filing Date	03/26/2004
				First Named Inventor	Edward J. Franczek
				Group Art Unit	2131
				Examiner Name	Unknown
Street	1	of	1	Atty Docket Number	VERVE1100-5
Examiner Initials	Cite No.	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
/DA/	C1	"A Generic Virus Scanner in C++," ftp://cerias.purdue.edu/pub/papers/sandeep/kumar/kumar-spaftscanner.pdf , September 17, 1992, 21 pages			
/DA/	C2	"DoD Products- Trusted Gateway System," http://www.tcs-sec.com/products/trusted-gateway-system/trusted-gateway-system.html , 2001, 4 pages			
/DA/	C3	"Intrusion Detection Systems," Panagiotis Astithas, http://www.daemonnews.org/199905/ids.html , 1999, 6 pages			
/DA/	C4	"Annual Technical Report for Ada Embedded Systems Testbed Project," Weiderman, et al., December 1987, 58 pages			
/DA/	C5	"Multics Features," http://www.multicians.org/features.html , January 12, 2003, 16 pages			
/DA/	C6	"Malicious Data and Computer Security," W. Olin Sibert, InterTrust Technologies Corporation, http://home.earthlink.net/~wolfboy/ARCHIVE/GenSecure/maldata.htm , printed February 19, 2003, 10 pages			
/DA/	C7	2.4 The TUNIX Firewall: extensions and third-party components," www.tunix.nl/fire/wp-eng/chapter2.4.html , copyright 1997-99, 2000, printed February 19, 2003, 9 pages			
/DA/	C8	"SBC Donates \$7.3 M Patent to UT," Austin Business Journal, http://austin.bizjournal.com/austin/stories/2003/02/03daily6/html , February 3, 2003, 1 Page			
/DA/	C9	Third Party Email, February 18, 2003, 6 pages			
/DA/	C10	Third Party Email, March 2, 2003, 9 pages			
/DA/	C11	Third Party Email, March 2, 2003, 9 pages			
/DA/	C12	Third Party Email, March 14, 2003, 5 pages			
/DA/	C13	Third Party Email, March 18, 2003, 3 pages			
/DA/	C14	Third Party Email, March 20, 2003, 13 pages			
/DA/	C15	Third Party Email, May 12, 2003, 13 pages			
/DA/	C16	IPO Daily News, May 22, 2003, 1 page			
/DA/	C17	"Patent Donations Are Criticized," New York Times, March 17, 2003, 2 pages			
				Date Considered	03/16/2007
Examiner Signature		/Devin Almeida/			

